Study of the Parliamentary Passage of Legislation, 1981-1991 (SPPL Data Set)

Codebook Compiled and edited by

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This codebook summarizes a common checklist developed by the "Parliaments in Western Europe" study group. For a first outline of the group's research, see Herbert Döring (ed.), Parliaments and Majority Rule in Western Europe, New York: St. Martin's 1995. For final results of analyses, see Herbert Döring and Mark Hallerberg (eds.), Patterns of Parliamentary Behavior: Passage of Legislation Across Western Europe, Aldershot: Ashgate 2004.

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A. General Comment on categorization of missing cases:

9991	Because of previous filter question not applicable (i.e. There is no second decisive reading (V93), so there is not any data for this second reading (V94-V99))
9992	Not existing in this country.
9999	Missing answer in questionnaire / or data not accessible.
0	See explanation to the specific variable

<u>Variable</u>		<u>B.</u>	<u>Variable</u>	<u>es</u>
VI	Que	estionnaire ID		
<u>V2</u>	Cou	untry		
	1	Austria	10	Italy
	2	Belgium	11	Luxembourg
	3	Denmark	12	Netherlands
	4	Finland	13	Norway
	5	France	14	Portugal
	6	Germany	15	Spain
	7	Greece	16	Sweden
	8	Iceland	17	Switzerland
	9	Ireland	18	United Kingdom
<u>V3</u>	Тур	e of bill		
	1	Working time/c	contract	
	2	Benefits		
	3	"Unsuccessful"		
<u>V4</u>	Bill	mentioned as imp	ortant in B	lanpain's Encyclopaedia ? ¹
	1	Yes		
	2	No		
<u>V5</u>	ISN	-CODE IN NATL	EX	
<u>V6</u>	Did	Government first	test proposo	als in an official discussion document?
	1	Yes		
	2	No		
V7	Was bill:		mentary co	ommission dealing with the content of the
	1	Vac with a pub	liely ecose	ible report
	Yes, with a publicly accessible report.			
	2 Committee appointed by the govt, but working behind closed			
	3	doors. No		
V8		s the policy probler laration submitted		d by the bill mentioned at all in a ent?

1 Yes

¹ For a description of this variable, see Tsebelis 2004, p. 181.

	2	No
<u>V9</u>		If yes, was the matter mentioned in vague or in precise terms?
		1 Yes, in precise and detailed terms
		Yes, in rather vague terms
V10		Date of submission of this declaration to parliament
V11		Was a solution to the policy problem also mentioned in the govt's declaration?
		1 Yes
		2 Not at all
V12		If yes, how much attention was paid to the policy problem in the declaration?
		1 One phrase or less
		2 A paragraph (= several sentences)
		3 A section (=several paragraphs)
		4 A chapter
V13		Does the bill formulate a solution to the problem in a similar way as the declaration?
		1 In (quite) a similar way
		2 Some parts in a similar way, others in a dissimilar way
		No, or very little similarity between the two formulations
V14		Characters by page of official publication
V15		Number of pages of this bill in the official publication
V16		Number of pages of official publication in which bill was promulgated
<u>V17</u>		Number of articles/clauses
V18		Number of chapters/sub-headings
V19		Number of clauses (of previous bills) changed by this single bill
V20		Sum total of previous bills mentioned in this single bill

V2001	Number of bills completely deleted			
	4-digit variables (or in some cases even 6-digit variables) signify additional information pertaining to some but not all countries. Not to have included these additional variables would have lost valuable information that is, however, limited to only a fraction of cases. These special variables may be used by participants at their discretion.			
<u>V21</u>	Is there a publication of bills by keywords available?			
	1 Yes 2 No			
V22	Number of keywords for this bill			
<u>V23</u>	Date of bill's formal introduction to plenary			
V24	In which House was the bill first introduced?			
	 Unicameral Lower House Upper House 			
<u>V25</u>	Official initiator of bill			
	Government/Individual minister (s) Parliamentary party (parties) Individual (or several individual) MP(s) Initiated by parliamentary committee popular initiative			
V2601-2617	Govt./Opposition status of parties			
	0			

1

1 Government

2 Non-government

Please note the special coding procedure for variables 2601-2617. An extra variable was created for each party, with the sequence of these party variables corresponding to the country-specific coding of the parties listed in appendix 1. This device allows for the identification of a party's possibly changing government or opposition status at various points in time. For example, V2601 would tell us whether party1 from a given country initiated a bill or not, and whether it was in government or opposition at this time. The sequence of variables consistently denotes the same party for each country throughout this data set. Now, whereas each variable identifies the name of the respective party, its coding (1 for government and 2 for non-government) reveals its government/opposition status during the passage of the particular

bill in question. Where the coding of government/opposition is set to the value of "0", it would signify that this party was not the initiator of a particular bill.

V26001	Number of initiating government MPs	
V26002	Number of initiating non-government MPs	
<u>V27</u>	Was there a plenary reading before committee stage?	
	1 Yes 2 No	
<u>V28</u>	Date of this reading	
<u>V29</u>	Was there a vote before committee stage?	
	1 Yes 2 No	
V30	Date of this vote	
<u>V31</u>	Was vote preceded by a debate?	
	1 Yes 2 No	
<u>V32</u>	Approximate result if vote was not recorded	
	 Recorded vote Near unanimous Approved Rejected 	
<u>V33</u>	Result of recorded vote (For)	
V34	Result of recorded vote (Against)	
V35	Result of recorded vote (Abstention)	
V36	Was bill taken in committee of the Whole House?	
	1 Yes 2 No	
<u>V37</u>	Number of committees to which bill was scheduled	
<u>V3801</u>	Name of first committee	

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	1 Social Affairs	
	2 Finance	
	3 Justice	
	4 Other	
	For an explanation of "other" in V3801 and V3802, see appendix 2.	
V3802	Name of second committee	
	1 Social Affairs	
	2 Finance	
	3 Justice	
	4 Other	
	For an explanation of "other", see V3801.	
<u>V39</u>	Date of beginning of committee stage	
V40	Date of end of committee stage	
V4101-4117	Number of seats in main committee for each party	
	The same procedure applies in principle as to V2601-2617. For an explanation, see above. A variable coded as "0" signifies that the relevant party did not have a seat in the main committee. Entries for each variable give the number of seats.	
V4201-4217	Government/Opposition status of each of the parties in main committee	
	1 Government	
	2 Non-government	
	Same procedure as V2601-V2617. For an explanation see above.	
<u>V43</u>	Did Chair belong to govt or non-govt?	
	1 Government	
	2 Non-government	
<u>V44</u>	Did committee take a final vote on bill?	
	1 Yes	
	2 No	
<u>V45</u>	Was voting record accessible to researchers?	

	1 Yes 2 No	
V46	Number of votes For (govt)	
V47	Number of votes For (non-govt)	
V4602	Total number of votes For (addition of V46 and V47)	
V48	Number of votes Against (govt)	
<u>V49</u>	Number of votes Against (non-govt)	
V4802	Total number of votes Against (addition of V48 and V49)	
V50	Number of Abstentions (govt)	
<u>V51</u>	Number of Abstentions (non-govt)	
V5002	Total number of Abstentions (addition of V50 and V51)	
<u>V52</u>	Number of Absentees (govt)	
<u>V53</u>	Number of Absentees (non-govt)	
<u>V5202</u>	Total number of Absentees (addition of V52 and V53)	
V54	If several committees, was their deliberation	
	1 Simultaneous2 Consecutive	
<u>V55</u>	Were subcommittees involved?	
	1 Yes 2 No	
<u>V56</u>	Number of subcommittees involved	
<u>V57</u>	Type(s) of subcommittees involved	
	 Special (ad hoc) subcommittee was created Permanent subcommittee 	
<u>V5801-5817</u>	Chair of sub-committee by party	

	1 Government2 Non-government
	2 Non-government
	Same procedure as V2601-V2617. For an explanation see above.
<u>V59</u>	Was bill re-allocated to another committee?
	1 Yes
	2 No
V60	Method of appointment of members of main committee
	1 Members fixed by rules
	2 Some specifically selected for permanent committee
	3 Ad hoc committee was formed
<u>V61</u>	Begin of legislative term during deliberation of this bill
V62	End of legislative term during deliberation of this bill
<u>V63</u>	Number of regular committee members
V64	Number of substitutions
	1 None
	2 1-10
	3 11-20 4 21-30
	5 31 or more
V65	Committee's total meeting time (in months) during legislative period
V6501	Committee's total meeting time (in hours) during legislative period
	(applies only to Sweden)
V66	Number of scrutinised bills during the legislative period in which the committee prepared its final report
<u>V67</u>	Amount of other business
V68	Number of full-time staff members assigned to committee (legislative period)
<u>V69</u>	Number of full-time staff members in parliament
V70	Did committee hire professional agencies for research?

- 1 Yes2 No
- For an explanation see above.

V71 Did committee arrange hearings?

- 1 Yes
- 2 No

Country peculiarities have been verbally explained by marginal notes to questionnaires and may be obtained as a word file from hdoering@rz.uni-potsdam.de

V72 Number of hearings

V7301 Date of first hearing

V7302 Date of second hearing

V7303 Date of third hearing

V7304 Date of fourth hearing

V74 Type of hearing(s)

- 1 Public
- 2 Private
- 3 Both at different stages

V75 Who testified at these hearings?

- 1 Government ministers
- 2 Civil servants
- 3 Independent experts
- 4 Interest group representatives
- 5 Other

For an explanation of "other", see V3801.

Which committee made the final report to the plenary?

- 1 Social affairs
- 2 Finance
- 3 Justice

	3 Other
	For an explanation of "other", see appendix 2
<u>V77</u>	Date of report to plenary
<u>V78</u>	Who reported bill to plenary?
	1 Chair
	2 Rapporteur
	3 Committee as a whole
V7901-7913	Govt./Opposition status of party of rapporteur(s)
	0
	1 Government
	2 Non-government
	Same procedure as V2601-V2617. For an explanation see above.
V80	Were minority reports presented?
	1 Yes
	2 No
V8101-8112	Govt./Opposition status of parties filing minority reports
	0
	1 Government
	Non-government
	Same procedure as V2601-V2617. For an explanation see above.
V82	Was committee dissent (other than a minority report) recorded in committee report?
	1 Yes
	1 Yes 2 No
V8301-8314	Govt./Opposition status of each party airing committee dissent
	0 1 Government
	2 Non-government

Same procedure as V2601-V2617. For an explanation see above.

V84	Number of plenary readings after committee stage		
-			
<u>V85</u>	Date of decisive reading regarding policy-content of bill		
<u>V86</u>	Date of reading which was only a formality		
<u>V87</u>	Date of a further reading which was only a formality		
<u>V88</u>	Duration of plenary debate of decisive reading (days)		
V8802	Number of pages concerning the debate on the bill in the protocols of the plenary session		
	Applies only to Austria.		
V89	Duration of plenary debate of decisive reading (hrs)		
V90	Efforts made by opposition to oppose bill's adoption		
	None Demanding the verification of the quorum Demanding suspension of this debate Moving the bill to be returned to committee Other		
	Country peculiarities, here coded as "other", have been verbally explained by marginal notes to questionnaires and may be obtained as a word file from hdoering@rz.uni-potsdam.de		
<u>V91</u>	Did government invoke restrictive procedures during decisive reading?		
	 No restrictive procedures Invoked procedures 		
V92	Did government declare its intention to treat bill as a question of confidence during decisive reading?		
<u>V93</u>	1 Yes 2 No Was the aforementioned reading the only decisive one?		
	1 Yes 2 No		
<u>V94</u>	Date of second decisive reading, if any		

V9402	Number of pages concerning the debate on the bill in the protocols of the plenary session.			
	Applies only to Austria.			
V95	Duration of plenary debate during this particular reading (days)			
V96	Duration of plenary debate during this particular reading (hrs)			
V97	Efforts made by opposition to oppose bill's adoption during this particular reading			
	 None Demanding the verification of the quorum Demanding suspension of this debate Moving the bill to be returned to committee Other 			
	Country peculiarities, here coded as "other", have been verbally explained by marginal notes to questionnaires and may be obtained as a word file from hdoering@rz.uni-potsdam.de			
V98	Did government invoke restrictive procedures during this particular reading?			
	 No restrictive procedures Invoked procedures 			
V99	Did government declare its intention to treat bill as a question of confidence during this particular reading?			
	1 Yes 2 No			
V100	Was there a final vote on the totality of the bill?			
	1 Yes 2 No			
V101	Date of vote			
V102	Approximate result if vote was not recorded			
	 Recorded vote Near unanimous Approved Rejected 			
V103	Result of recorded vote (For)			

V104	Result of recorded vote (Against)		
V105	Result of recorded vote (Abstention)		
V106	Number of votes on amendments		
V107	Was voting conducted clause by clause?		
	1 Yes 2 No		
V108	Were alternative bills voted on against each other?		
	1 Yes 2 No		
V109	Were packages of more than one bill put to vote?		
	1 Yes 2 No		
V110	Is there a synopsis on amendments suggested, rejected and taken at various stages of bill?		
	1 Synopsis enclosed2 No synopsis		
V111	Date of introduction into other chamber		
<u>V112</u>	Begin of committee stage in other chamber		
V113	End of committee stage in other chamber		
<u>V114</u>	Did chamber eventually accept bill as sent by other chamber?		
	1 Yes 2 No		
<u>V115</u>	Date of acceptance		
<u>V116</u>	Consequences when other chamber disagreed		
	 Bill modified Bill dropped Vote of other House overturned Bill was sent to Conference Committee 		

<u>V117</u>	Number of rounds of "navette"		
<u>V118</u>	Begin of negotiations in Conference Committee		
<u>V119</u>	End of negotiations in Conference Committee		
<u>V120</u>	Was an agreement reached in CC?		
	1 Yes 2 No		
V121	Overall fate of bill		
	1 Passed 2 Rejected		
V122	Formal assent by head of state		
	1 Given 2 Refused		
V123	Date of promulgation of bill		
<u>V124</u>	Challenge to bill in Constitutional Court?		
	1 Challenged 2 Unchallenged		
<u>V125</u>	Date of Court decision		

C. Additional variables²

VARIABLE NAME	DESCRIPTION	VALUE LABELS (WHERE APPROPRIATE)	SOURCE
ADHOC	Ad hoc committees	0 = no	
		1 = yes	
AGCTRLCT	Committee's authority to	Committee autonomy	Mattson/ Strøm
	determine its own agenda	increases as factor	1995, p. 299
	independent of government	scores increase	
AGCTRLPL	Parliament's authority to	Parliamentary	Döring 1995a, p. 684

² Either computed from data set or taken and inserted from other sources.

VARIABLE	DESCRIPTION	VALUE LABELS	SOURCE
NAME		(WHERE APPROPRIATE)	
	determine plenary agenda independent of government	autonomy increases as factor scores increase	
AGENPLEN	Parliament's authority to determine plenary agenda independent of government	Index ranging from 1 (very little authority) to 7.	Döring 1995a, p. 225, table 7.1
САВТҮРЕ	Cabinet type	1 = coalition majority 2 = single party majority 3 = coalition minority 4 = single party minority	Keesing's Contemporary Archives; Pederson / Laver 1993, respective country tables
CAB2_CMA	Dummy variable coalition majority (cabtype=1) cab2_cma=1 else=0	1 = coalition majority 0 = other cabinet type	
CAB2_SMA	Dummy variable single party majority sin(cabtype=2) cab2_sma=1 else=0	1 = single party majority 0 = other cabinet type	
CAB2_SMI	Dummy variable single party minority (cabtype=4) cab2_smi=1 else=0	1 = single party minority 0 = other cabinet type	
CANDVOTE	Are candidate votes possible?	1 = yes 2 = no	
CHAIRS	Allocation of committee chairs	1 = majority 2 = mainly majority 3 = proportional 4 = other, see comments in Mattson/Strøm	Mattson / Strøm 1995, table 8.3
COMDRAF T	Committee drafting (factor scores)	Committee autonomy increases as factor scores decrease	Döring 1995a, p. 684, table 22.8
CONFID	Bill a question of confidence [if (v92=1 or v99=1) confid=2. if (v92=2 and v99=2) confid=1. if (v92=2 and v99=9991) confid=1. if (v92=2 and v99=9999) confid=1.]	1 = no 2 = yes	
CONFLICT	Conflict (Damgaard / Mattson)	1 = consensus 2 = conflict	For explanation, see Damgaard / Mattson 2004.
CONSOC	Index of consociationalism	Dummy variable: 1=Consociational system 0=All other cases	Lane / Ersson 1999, p. 258

VARIABLE NAME	DESCRIPTION	VALUE LABELS (WHERE APPROPRIATE)	SOURCE
CORPORAT	Corporatism index		Lane / Ersson 1999, p. 258
DELAY	Efforts made by opposition to delay adoption of bill [recode v90 (1=1) (2 thru 5=2) into r90. recode v97 (1=1) (2 thru 5=2) (9991=9991) (9999=9999) into r97. if (r90=2 or r97=2) delay=2. if (r90=1 and r97=1) delay=1. if (r90=1 and r97=9991) delay=1.if (r90=1 and r97=9999) delay=1.]	1 = no 2 = yes	
DISTRICT	Electoral district magnitude		Hallerberg 2004, table 1.2
EFFNUMPA	Effective number of parties in parliament		Müller / Strom 2000, country chapters with respective tables
FIEF_H	Fiefdom variable		Hallerberg 2004, table 1
FOLLELEC	Date of election following the bill's introduction		Keesing's Contemporary Archives
GOVBILL	Was it a government bill?	0 = no 1 = yes	
IMPOSE	Imposition of restrictive rules [if (restrict=1 and confid=1) impose=1; if (restrict=2 or confid=2) impose=2.]	1 = no 2 = yes	
INITLEG	Initiation of legislation by committees	1 = no rights 2 = right to consolidate and split bills 3 = right to initiate legislation 4 = both (2+3)	Mattson / Strøm 1995, table 8.3; see peculiarities for Finland and Italy
LEGISEND	Distance (days) between v62 and v101		
MAXLIFE	Maximum life of a bill	Values from 1 (pending bills die at end of session [6 months-1 year]) to 4 (pending bills never die (except when	Döring 1995b, p. 242

VARIABLE NAME	DESCRIPTION	VALUE LABELS (WHERE APPROPRIATE)	SOURCE
MINORREP	Minority reports	rejected by a vote). 1 = right exists 2 = does not exist 3 = right exists but country-specific limitation	Mattson / Strøm 1995, table 8.3
NUMCOMM	Number of permanent, specialised committees (lower house)		Mattson / Strøm 1995, table 8.1
N_GOV_P	Number of parties in government (number of partisan veto players)		Müller / Strom 2000, country chapters with respective tables
OPENMEET	Openness of committee meetings	1 = closed 2 = open to MPs 3 = open to public and mass media 4 = other, see comments in Mattson/Strøm	Mattson / Strøm 1995, table 8.3
PARLSYS	Constitution classified as pure parliamentary system of government	Dummy variable: 1=Parliamentary system of govt. 0=All other cases	Schmidt 1997, p. 223
PERSONAL	Personal vote index		Hallerberg 2004, table 1.2
RANGE	Ideological range of coalition - difference in experts' judgments of positions of most extreme coalition parties on the issue of increasing services vs. cutting taxes. (calculated from Laver/Hunt 1992)		Laver / Hunt 1992, appendix B, pp. 133- 316
RESTRICT	Restrictive procedures imposed on bill [if (v91=1 and v98=1) restrict=1. if (v91=2 or v98=2) restrict=2. if (v91=1 and v98=9991) restrict=1. if (v91=1 and v98=9999) restrict=1.]	1 = no 2 = yes	
SCARCE	Distance between next election and final vote (follelec - v101)		
STAGE	Committee stage in deliberation	1 = before plenary stage 2 = after plenary stage	Mattson/ Strøm 1995, table 8.3

VARIABLE NAME	DESCRIPTION	VALUE LABELS (WHERE APPROPRIATE)	SOURCE
VETOPL	Veto players; range*n_gov_p		

D. Sources

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